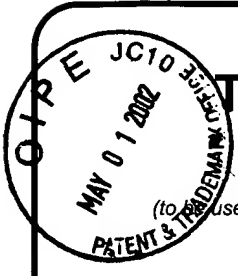


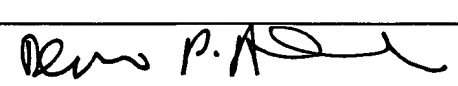
Please type a plus sign (+) inside this box → ☐

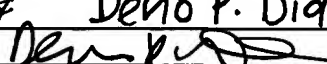
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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 <b>TRANSMITTAL FORM</b>	Application Number	09/992,095
	Filing Date	November 13, 2001
	First Named Inventor	Stephane Bejanin
	Group Art Unit	1645
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	91.US5.DIV

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply - Preliminary	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration (Deno Dialynas); Return Receipt Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Deno Dialynas, Ph.D.	Reg. No. 50,711
Signature		
Date	4-26-02	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <b>4-26-02</b>			
Typed or printed name	<b>Deno P. Dialynas</b>		
Signature		Date	<b>April 26, 2002</b>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/992,095
Filing Date	November 13, 2001
First Named Inventor	Stephane BEJANIN, et al.
Examiner Name	Unassigned
Group Art Unit	1645
Attorney Docket No.	91.US5.DIV

**TOTAL AMOUNT OF PAYMENT** (\$) **569.00****METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-1181**

Deposit Account Name **Genset Corp.**

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

**SUBTOTAL (1)** (\$) **0.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
40	20	9	180
5	3	42	84
Multiple Dependent		140	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) **264.00**

\*\*for number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	200
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

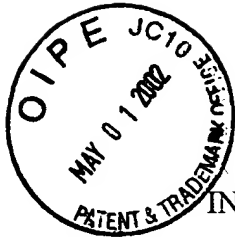
\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **\$305.00****SUBMITTED BY**

Name (Print/Type)	Deno Dyalnas, Ph.D.	Registration No. (Attorney/Agent)	50,711	Telephone	858 / 597-2600
Signature	<i>Deno Dyalnas</i>	Date	April 26, 2002		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: )  
)  
Applicant : Stephane BEJANIN, et al. )  
) Grp./A.U. : 1645  
Appl. No. : 09/992,095 )  
) Examiner : Unassigned  
Filed : November 13, 2001 )  
)  
Title : HUMAN CDNAS AND PROTEINS AND USES )  
THEREOF )

---

Assistant Commissioner of Patents  
Box: Missing Parts  
Washington, D.C. 20231

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application dated  
January 9, 2002, enclosed please find the following documents:


	<u>Document</u>	<u>Quantity</u>
1)	Copy of the Notice To File Missing Parts Of Nonprovisional Application	2 pages
2)	Declarations signed by Stephane Bejanin and Hiroaki Tanaka	4 pages
3)	Powers of Attorney or Authorization of Agent signed by Stephane Bejanin and Hiroaki Tanaka	2 pages
4)	Our check in the amount of \$65.00 in payment of the surcharge - Small Entity	
5)	Assignments executed by Stephane Bejanin and Hiroaki Tanaka; Assignment Recordation Cover Page	5 pages
6)	Our check in the amount of \$40.00 in payment of the recordation fee	

	<u>Document</u>	<u>Quantity</u>
7)	Copy(ies) of the Sequence Listing in Computer Readable Form as per 37 CFR 1.821(e)	2 CD-ROMs
8)	Sequence Submission Statement	1 page
9)	Return Receipt Postcard	

Please charge any additional fees, or credit overpayment to Deposit Account No. 50-1181.

Respectfully submitted,

GENSET CORPORATION

Date: April 26,, 2002 By:   
Deno Dialynas, Ph.D.  
Reg. No. 50,711

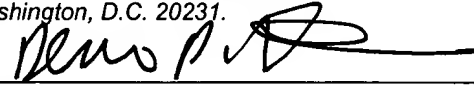
10665 Sorrento Valley Road  
San Diego, CA 92121-1609

Telephone: (868) 597-2610  
Facsimile: (858) 597-2600  
e-mail: deno.dialynas@genxy.com

**Certificate of Mailing**

Date of Deposit: April 26, 2002

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, on the Date of Deposit shown above, postage prepaid and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.*

  
Signature  
Deno P. Dialynas  
Typed or Printed Name of Person Signing Certificate



# Fee History Query

Revenue Accounting and Management

Name/Number: 09992095

Total Records Found: 10

Start Date: Any-Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
11/28/2001	00000053	<u>1</u>	<u>201</u>	\$370.00	11/13/2001	OP
11/28/2001	00000054	<u>6</u>	<u>605</u>	\$140.00	11/28/2001	OP
05/03/2002	00000136	<u>1</u>	<u>216</u>	\$200.00	04/30/2002	OP
05/03/2002	00000137	<u>1</u>	<u>205</u>	\$65.00	05/01/2002	OP
05/03/2002	00000138	<u>1</u>	<u>203</u>	\$180.00	05/01/2002	OP
05/03/2002	00000139	<u>1</u>	<u>202</u>	\$84.00	05/01/2002	OP
05/06/2002	00000044	<u>1</u>	<u>581</u>	\$40.00	05/01/2002	OP
06/12/2002	00000022	<u>1</u>	<u>203</u>	-\$180.00	05/01/2002	OP
06/12/2002	00000023	<u>1</u>	<u>203</u>	\$171.00	05/01/2002	OP
06/12/2002	00000024	<u>1</u>	<u>998</u>	\$9.00	05/01/2002	OP

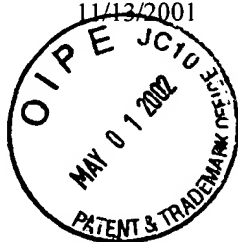


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/992,095	11/13/2001	Stephane Bejanin	91.US5.DIV

John Lucas, Ph.D., J.D.  
GENSET CORP.  
10665 Sorrento Valley Road  
San Diego, CA 92121-1609



CONFIRMATION NO. 1774

## FORMALITIES LETTER



\*OC000000007282130\*

Date Mailed: 01/09/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/03/2002 BABRAHA1 00000085 09992095

FILED UNDER 37 CFR 1.53(b)

01 FC:205	65.00 OP
02 FC:203	<del>186.00 OP</del>
03 FC:202	84.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Adjustment date: 06/12/2002 HPRASASO

05/03/2002 BABRAHA1 00000085 09992095

02 FC:203 -186.00 OP

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

06/12/2002 HPRASASO 00000013 09992095

01 FC:203  
02 FC:998

171.00 OP

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*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE